

# CMPE 150/L : Introduction to Computer Networks

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Lecture 10

# Midterm exam

- ❑ Midterm next Thursday
- ❑ Close book but one-side 8.5"x11" note is allowed (must use hand-writing!)
- ❑ Let me know **by next Monday** if you have any problem
- ❑ Sample midterm and sample question of Chapter 2&3

# Chapter 3 outline

3.1 transport-layer services

3.2 multiplexing and demultiplexing

3.3 connectionless transport: UDP

3.4 principles of reliable data transfer

3.5 connection-oriented transport: TCP

- segment structure
- reliable data transfer
- flow control
- connection management

3.6 principles of congestion control

3.7 TCP congestion control

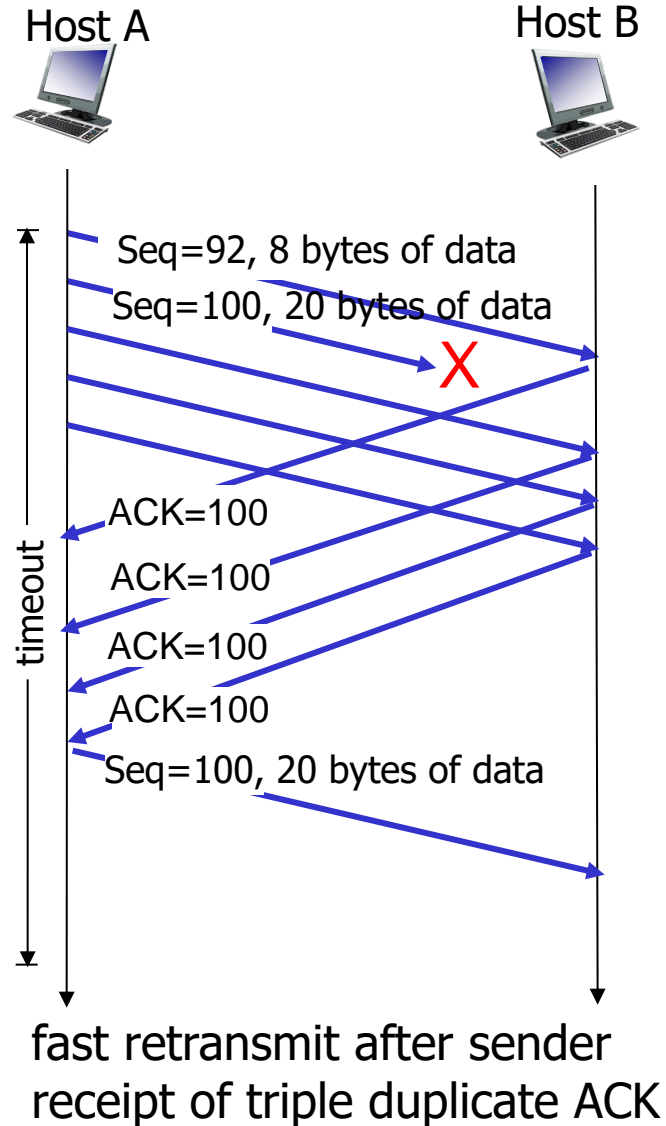
# TCP fast retransmit

- ❖ time-out period often relatively long:
  - long delay before resending lost packet
- ❖ detect lost segments via duplicate ACKs.
  - sender often sends many segments back-to-back
  - if segment is lost, there will likely be many duplicate ACKs.

## *TCP fast retransmit*

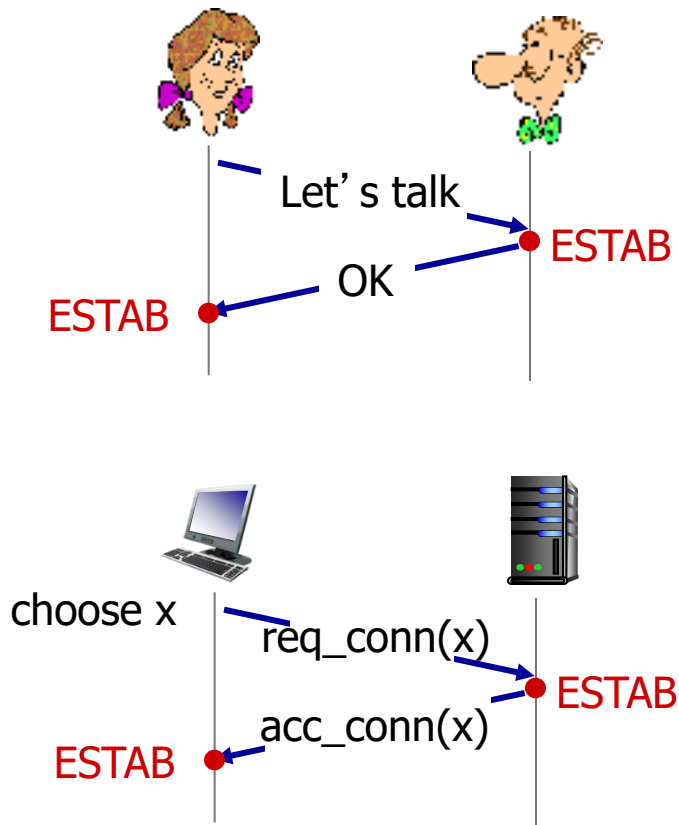
- if sender receives 3 ACKs for same data (“triple duplicate ACKs”), resend unacked segment with smallest seq #
- likely that unacked segment lost, so don't wait for timeout

# TCP fast retransmit



# Agreeing to establish a connection

2-way handshake:

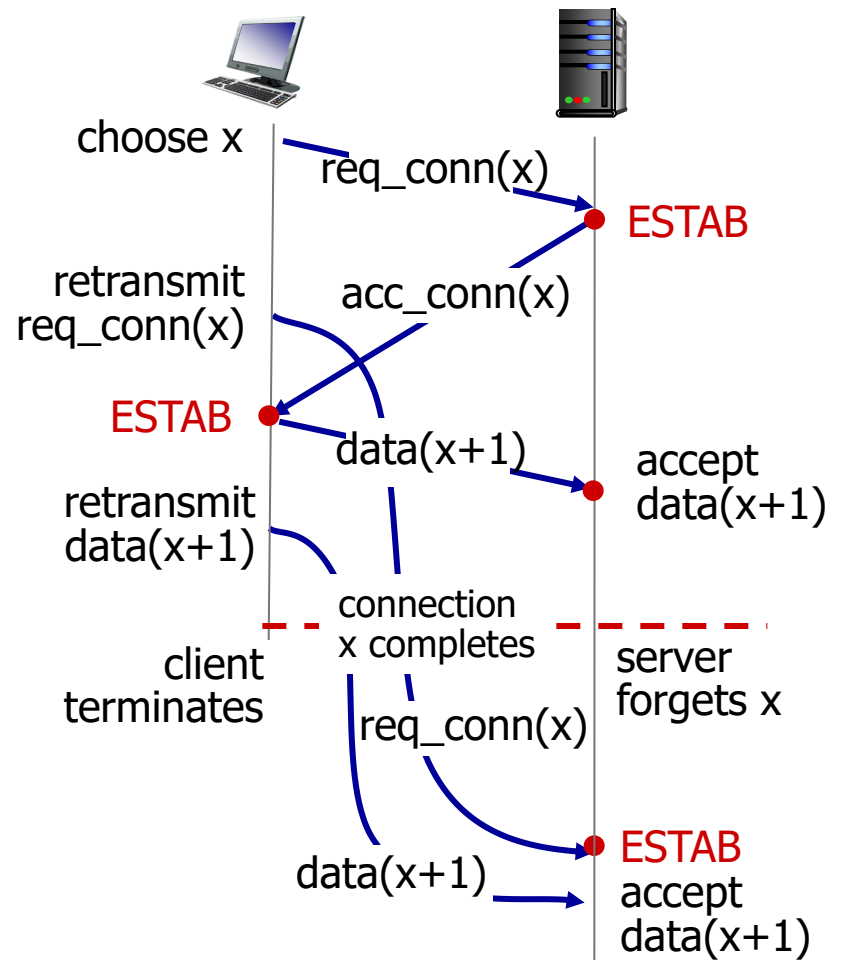
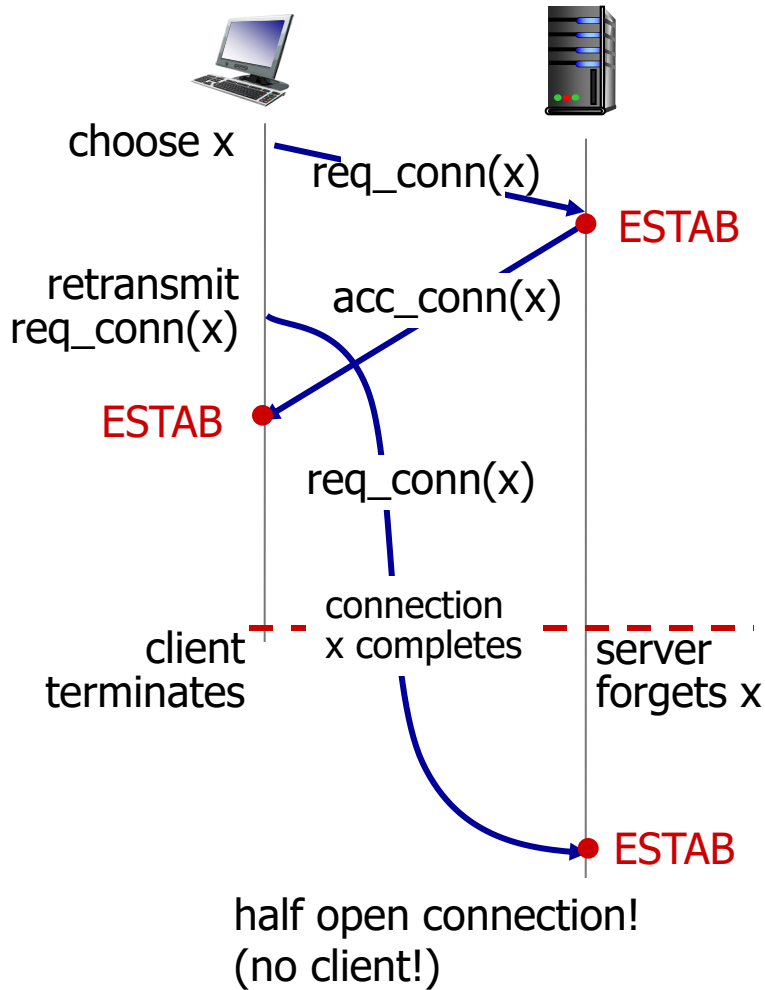


**Q:** will 2-way handshake always work in network?

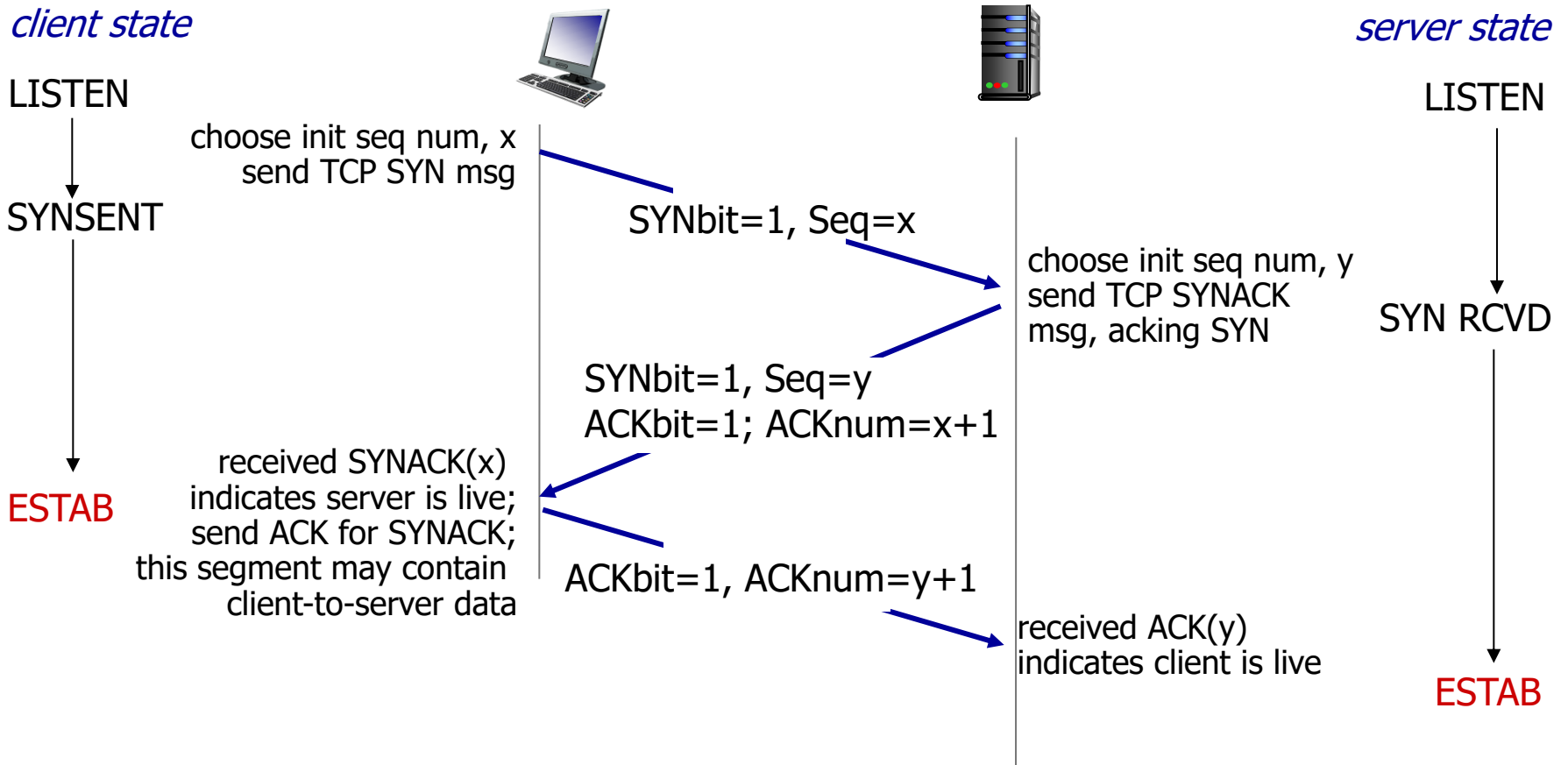
- ❖ variable delays
- ❖ retransmitted messages (e.g. req\_conn(x)) due to message loss
- ❖ message reordering
- ❖ can't "see" other side

# Agreeing to establish a connection

2-way handshake failure scenarios:



# TCP 3-way handshake





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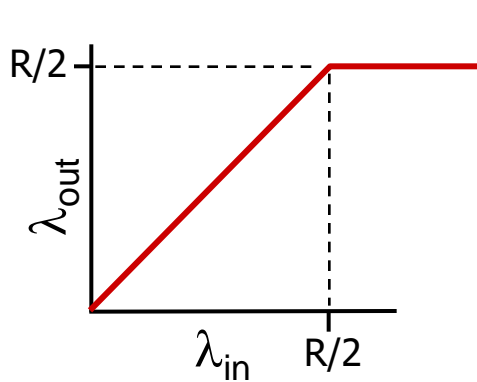
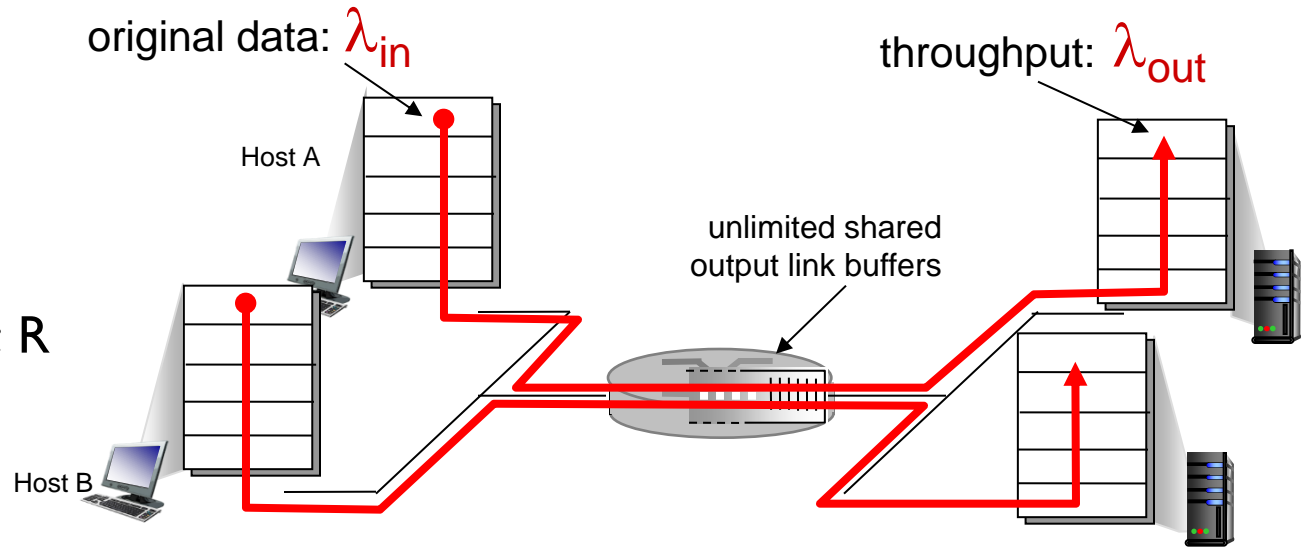
# Principles of congestion control

## *congestion:*

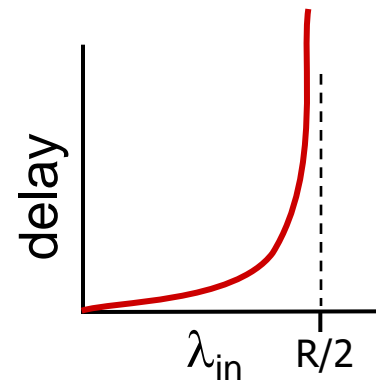
- ❖ informally: “too many sources sending too much data too fast for *network* to handle”
- ❖ different from flow control!
- ❖ manifestations:
  - lost packets (buffer overflow at routers)
  - long delays (queueing in router buffers)
- ❖ a top-10 problem!

# Causes/costs of congestion: scenario I

- ❖ two senders, two receivers
- ❖ one router, infinite buffers
- ❖ output link capacity:  $R$
- ❖ no retransmission



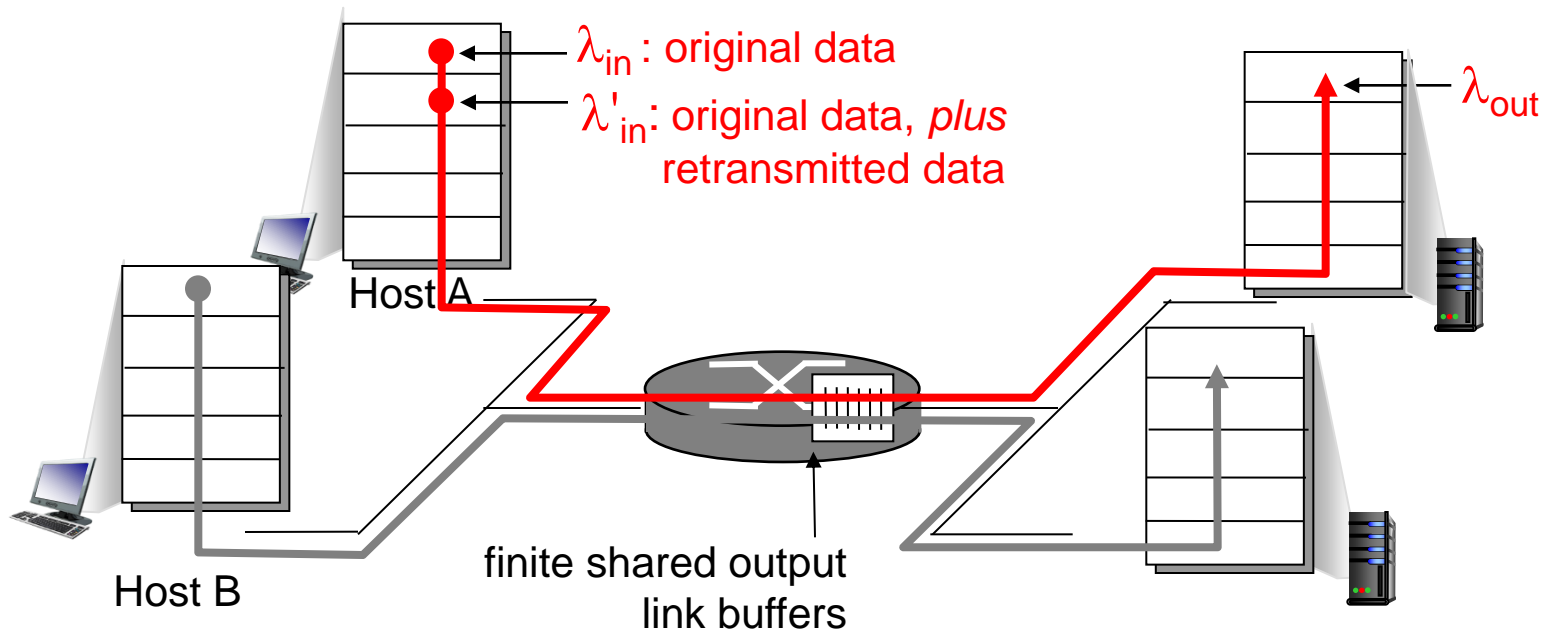
- ❖ maximum per-connection throughput:  $R/2$



- ❖ large delays as arrival rate,  $\lambda_{in}$ , approaches capacity

# Causes/costs of congestion: scenario 2

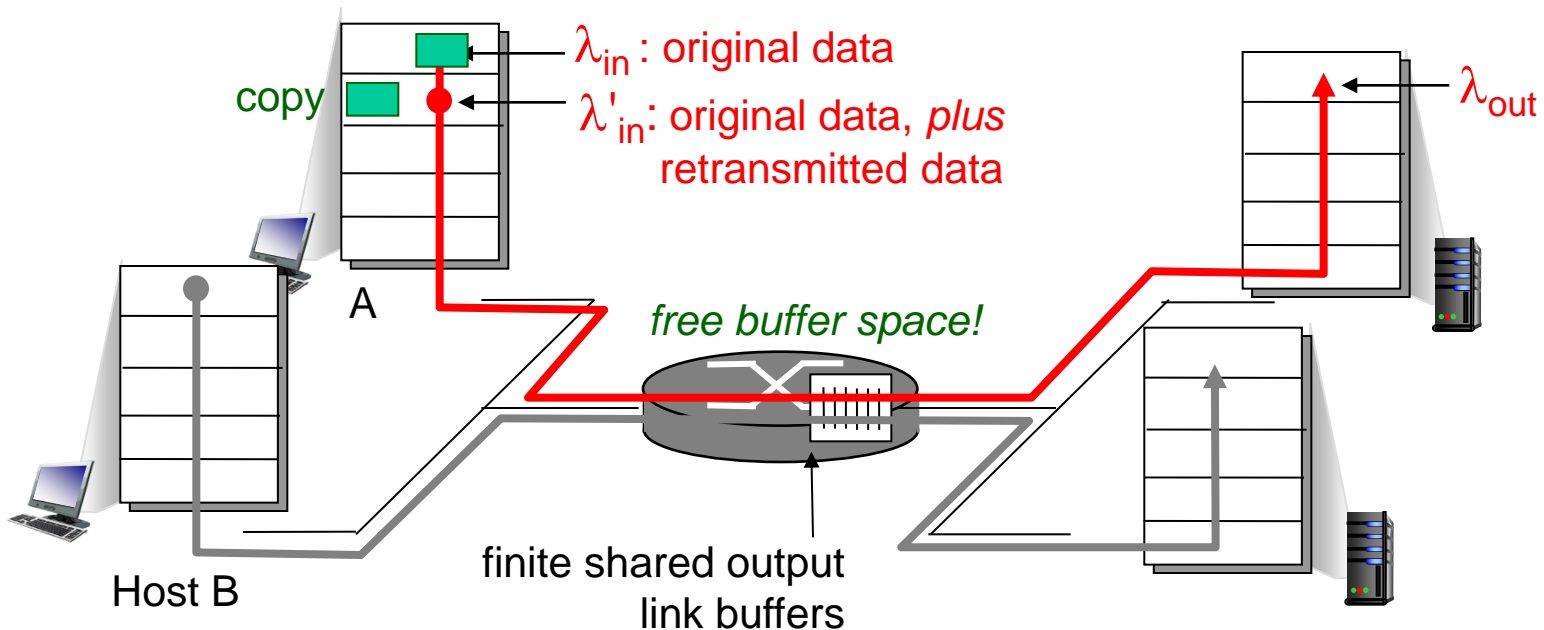
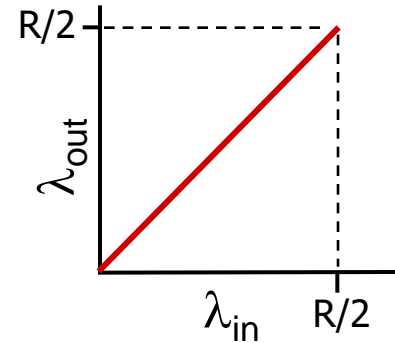
- ❖ one router, *finite* buffers
- ❖ sender retransmission of timed-out packet
  - application-layer input = application-layer output:  $\lambda_{in} = \lambda_{out}$
  - transport-layer input includes *retransmissions* :  $\lambda'_{in} \geq \lambda_{in}$



# Causes/costs of congestion: scenario 2

idealization: perfect knowledge

- ❖ sender sends only when router buffers available

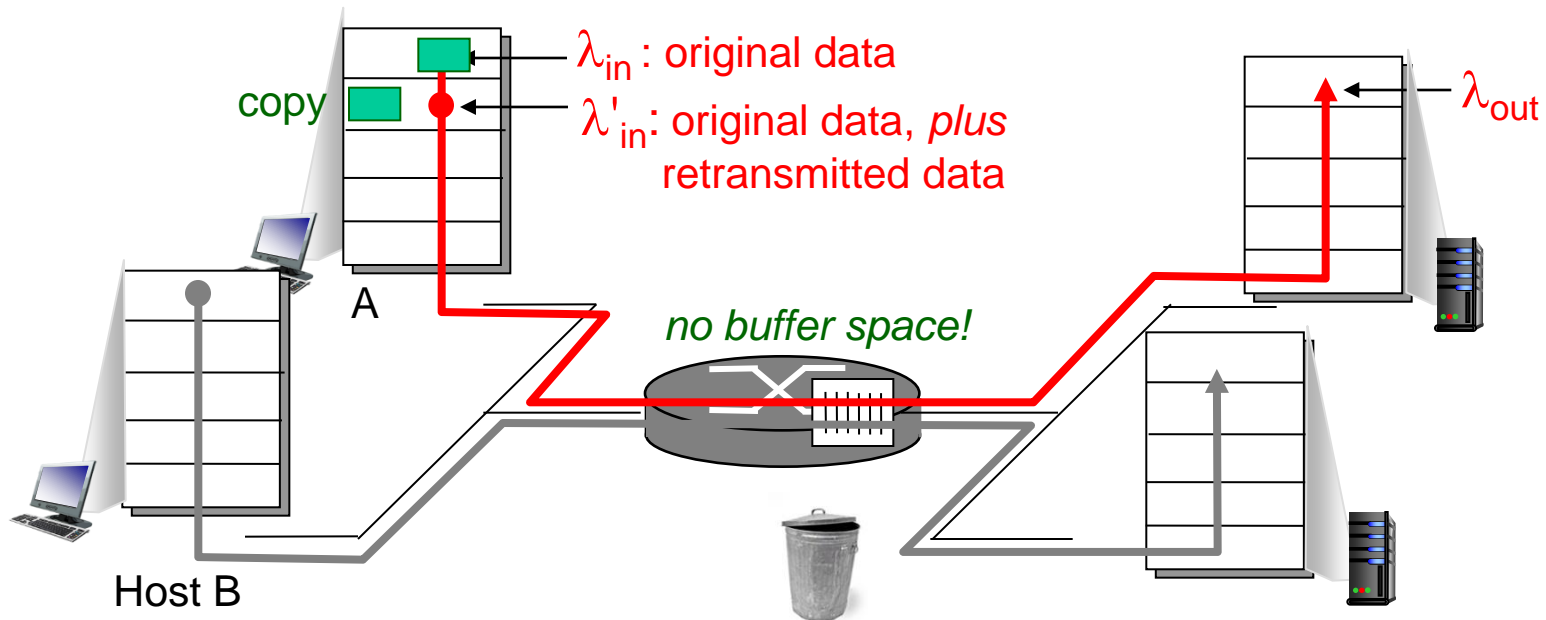


# Causes/costs of congestion: scenario 2

## *Idealization: known loss*

packets can be lost,  
dropped at router due  
to full buffers

- ❖ sender only resends if  
packet *known* to be lost

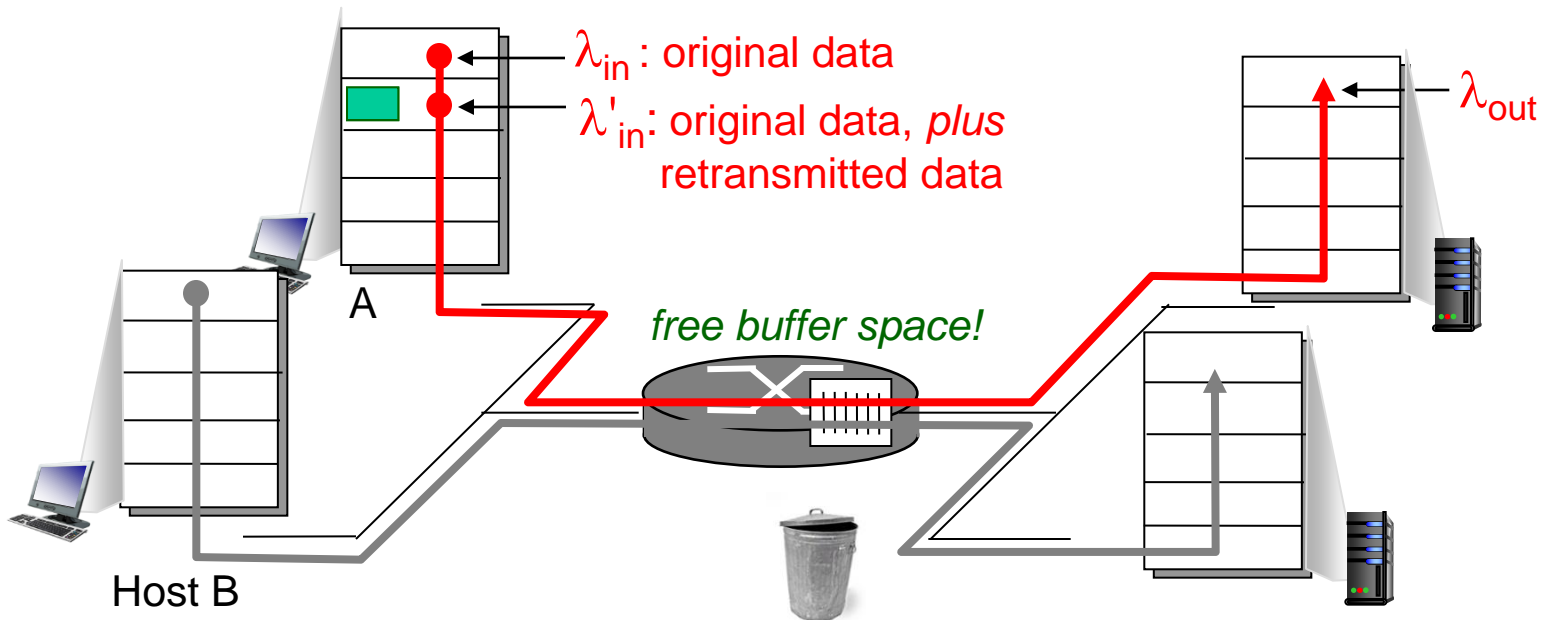
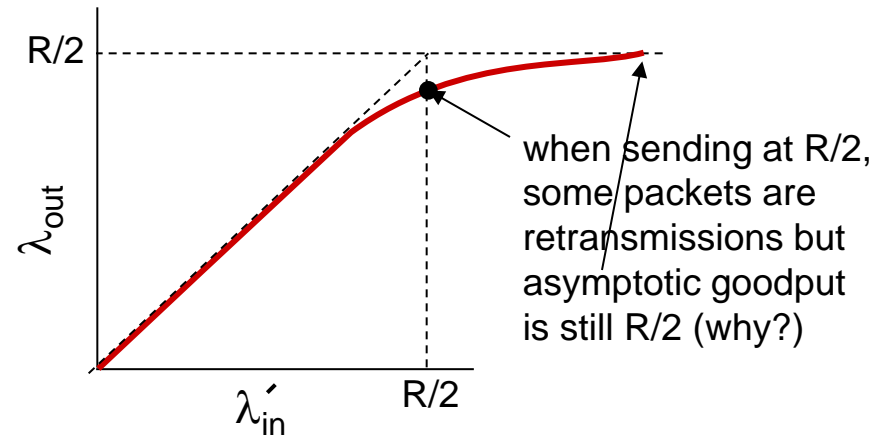


# Causes/costs of congestion: scenario 2

## *Idealization: known loss*

packets can be lost, dropped at router due to full buffers

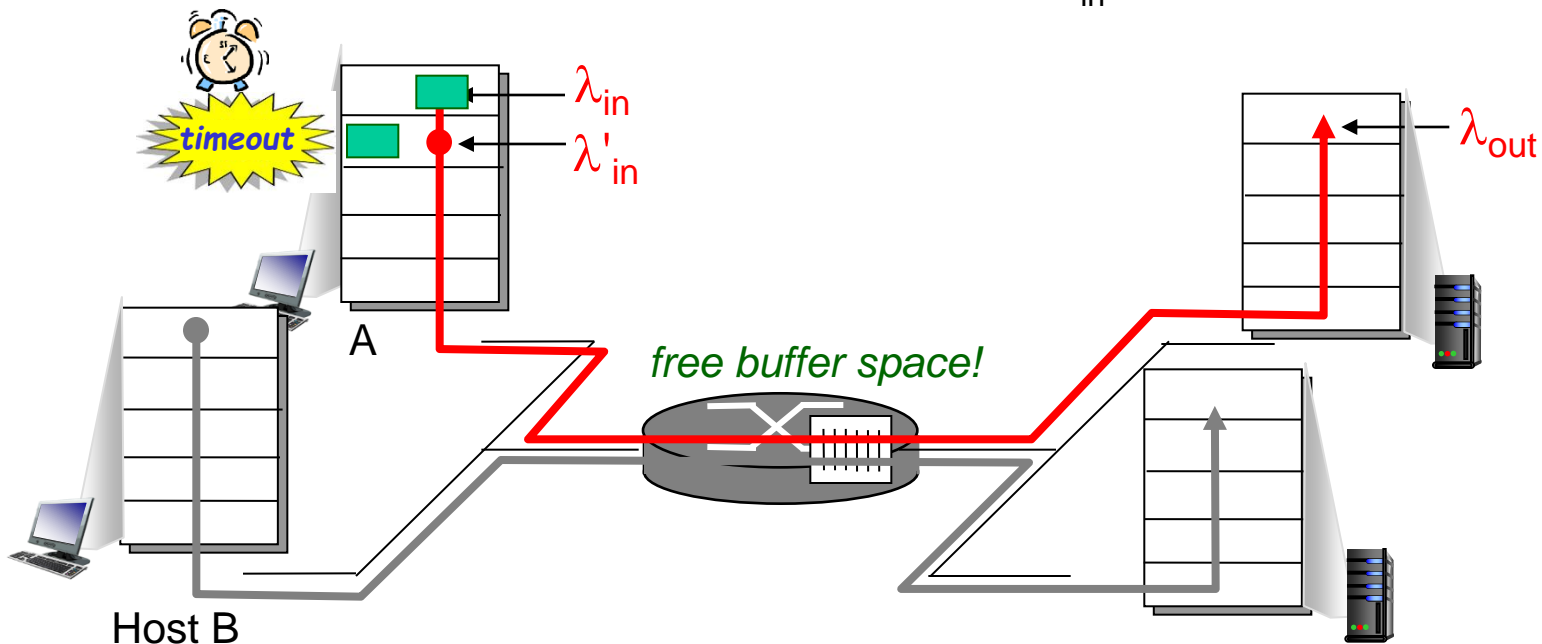
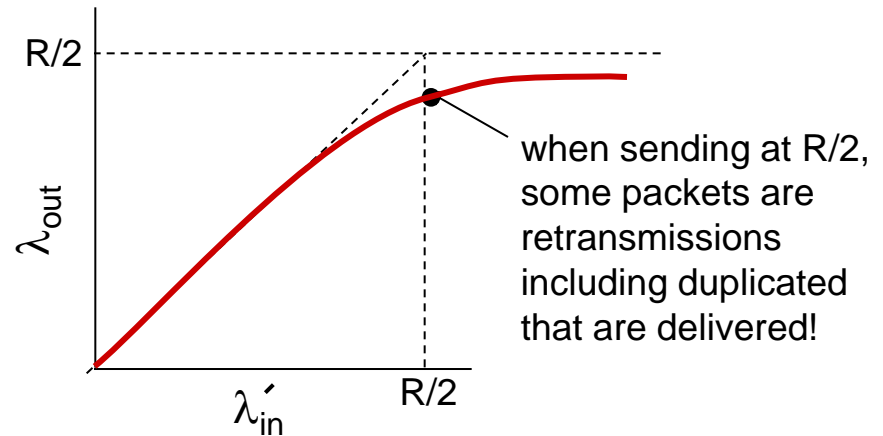
- ❖ sender only resends if packet *known* to be lost



# Causes/costs of congestion: scenario 2

## Realistic: *duplicates*

- ❖ packets can be lost, dropped at router due to full buffers
- ❖ sender times out prematurely, sending *two* copies, both of which are delivered





❖ Throughput:

- Data rate at the receiver

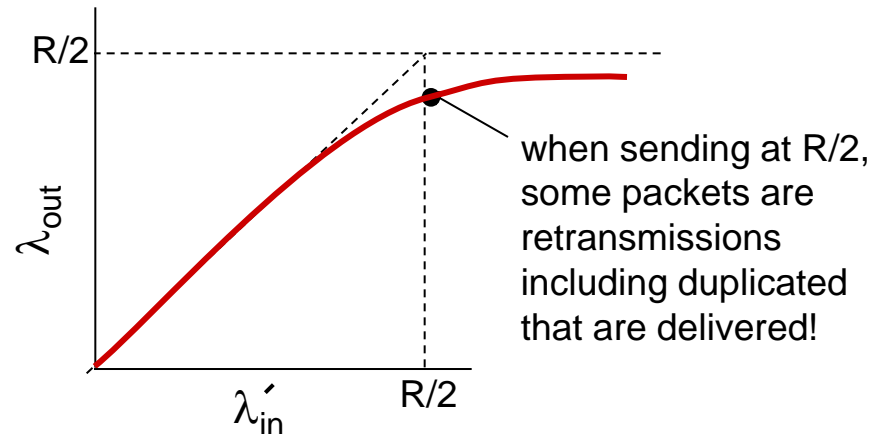
❖ Goodput:

- Rate at the receiver for data without duplicate!

# Causes/costs of congestion: scenario 2

## Realistic: *duplicates*

- ❖ packets can be lost, dropped at router due to full buffers
- ❖ sender times out prematurely, sending *two* copies, both of which are delivered



## “costs” of congestion:

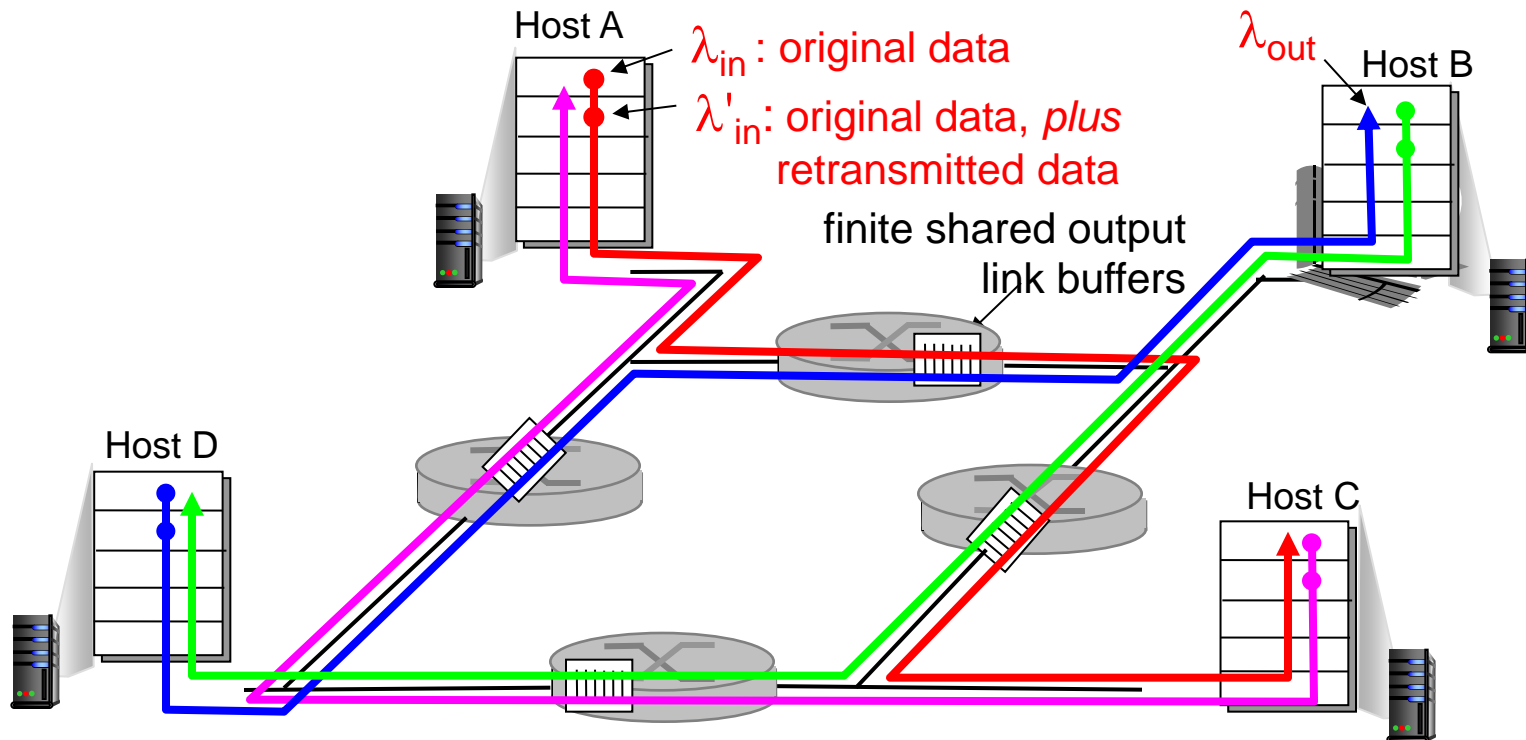
- ❖ more work (retrans) for given “goodput”
- ❖ unneeded retransmissions: link carries multiple copies of pkt
  - decreasing goodput

# Causes/costs of congestion: scenario 3

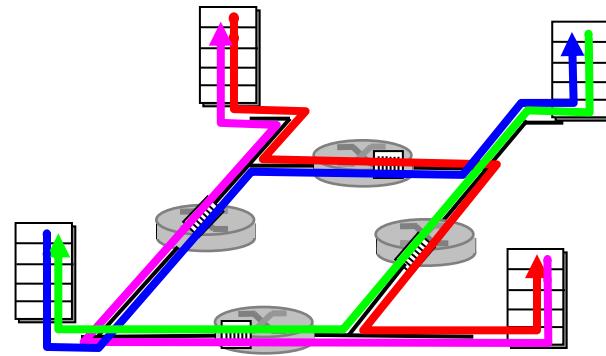
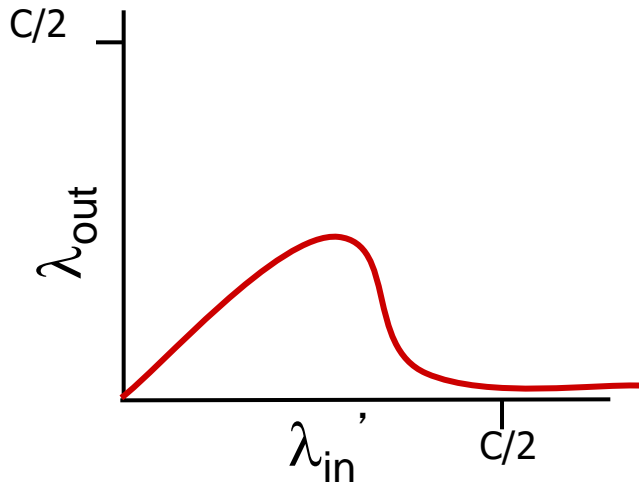
- ❖ four senders
- ❖ multihop paths
- ❖ timeout/retransmit

**Q:** what happens as  $\lambda_{in}$  and  $\lambda'_{in}$  increase ?

**A:** as red  $\lambda'_{in}$  increases, all arriving blue pkts at upper queue are dropped, blue throughput  $\rightarrow 0$



# Causes/costs of congestion: scenario 3



another “cost” of congestion:

- ❖ when packet dropped, any “upstream transmission capacity used for that packet was wasted!

# Approaches towards congestion control

two broad approaches towards congestion control:

## end-end congestion control:

- ❖ no explicit feedback from network
- ❖ congestion inferred from end-system observed loss, delay
- ❖ approach taken by TCP

## network-assisted congestion control:

- ❖ routers provide feedback to end systems
  - single bit indicating congestion (SNA, DECbit, TCP/IP ECN, ATM)
  - explicit rate for sender to send at

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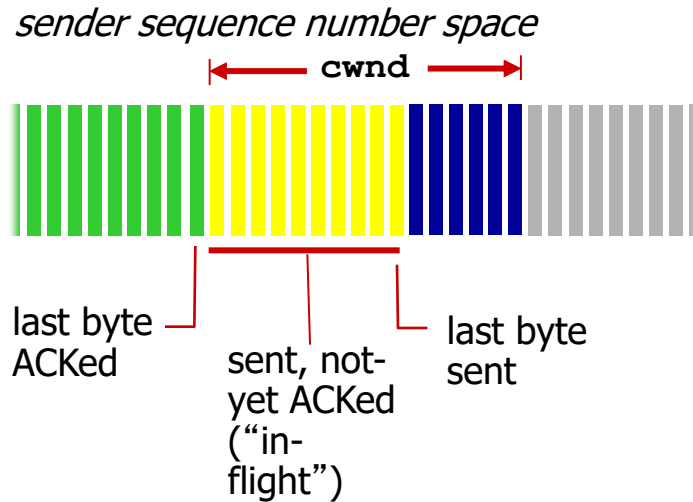
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**3.7 TCP congestion control**

# TCP Congestion Control: details



- ❖ sender limits transmission:

$$\text{LastByteSent} - \text{LastByteAked} \leq \text{cwnd}$$

- ❖ **cwnd** is dynamic, function of perceived network congestion

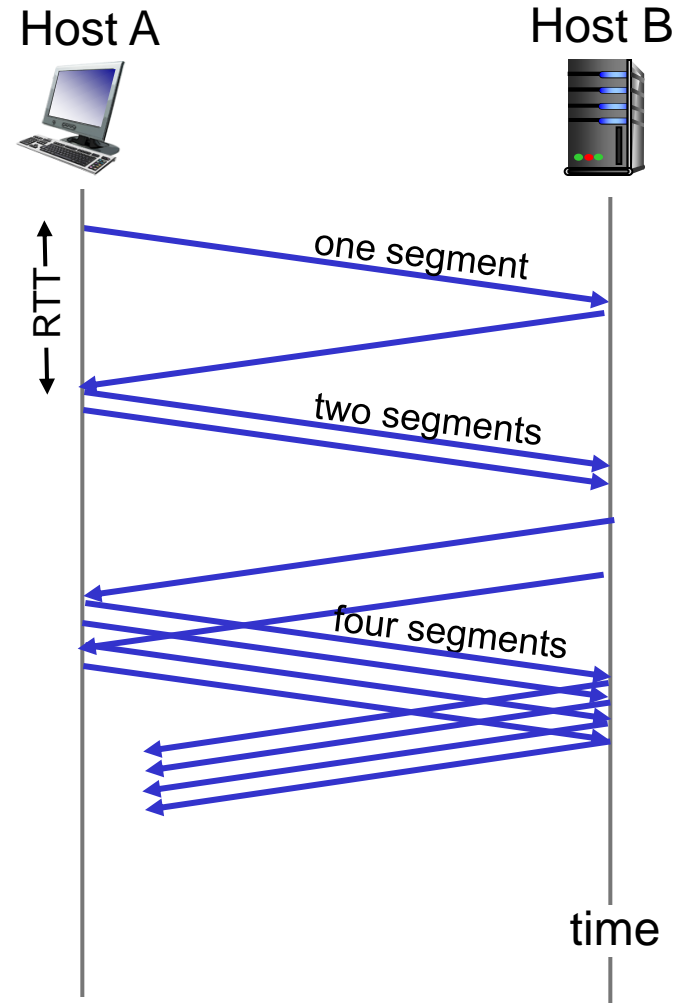
TCP sending rate:

- ❖ roughly: send cwnd bytes, wait RTT for ACKS, then send more bytes

$$\text{rate} \approx \frac{\text{cwnd}}{\text{RTT}} \text{ bytes/sec}$$

# TCP Slow Start

- ❖ when connection begins, increase rate exponentially until first loss event:
  - initially `cwnd` = 1 MSS
  - double `cwnd` every RTT
  - done by incrementing `cwnd` for every ACK received
- ❖ summary: initial rate is slow but ramps up exponentially fast





# TCP: detecting, reacting to loss

- ❖ loss indicated by **timeout**:
  - set a threshold **ssthresh** to half of the **cwnd**;
  - **cwnd** set to 1 MSS (by both TCP Tahoe and Reno);
  - window then grows exponentially (as in slow start) to threshold, then grows linearly
- ❖ TCP Tahoe always sets **cwnd** to 1 (**timeout** or **3 duplicate acks**)
- ❖ TCP RENO: loss indicated by **3 duplicate ACKs**
  - dup ACKs indicate network capable of delivering some segments
  - **cwnd** is cut in half window then grows linearly

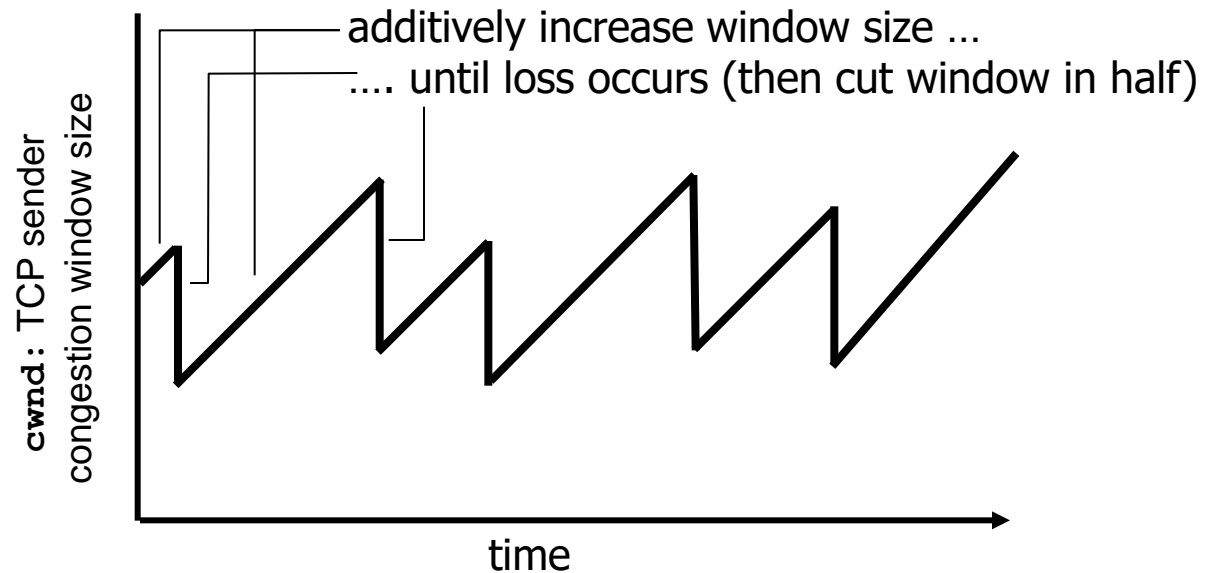
# After cwnd reaching the threshold

- ❖ Congestion avoidance algorithm:
- ❖ Additive increase multiplicative decrease (AIMD)

# TCP congestion control: AIMD

- ❖ *approach*: sender increases transmission rate (window size), probing for usable bandwidth, until loss occurs
  - *additive increase*: increase **cwnd** by 1 MSS every RTT until loss detected
  - *multiplicative decrease*: cut **cwnd** in half after loss

AIMD saw tooth behavior: probing for bandwidth



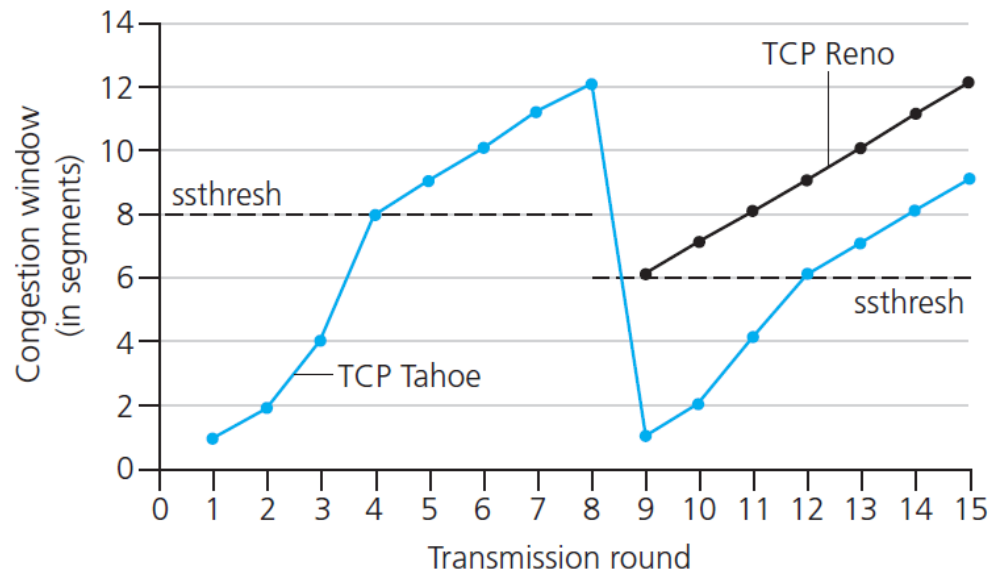
# TCP: switching from slow start to CA

**Q:** when should the exponential increase switch to linear?

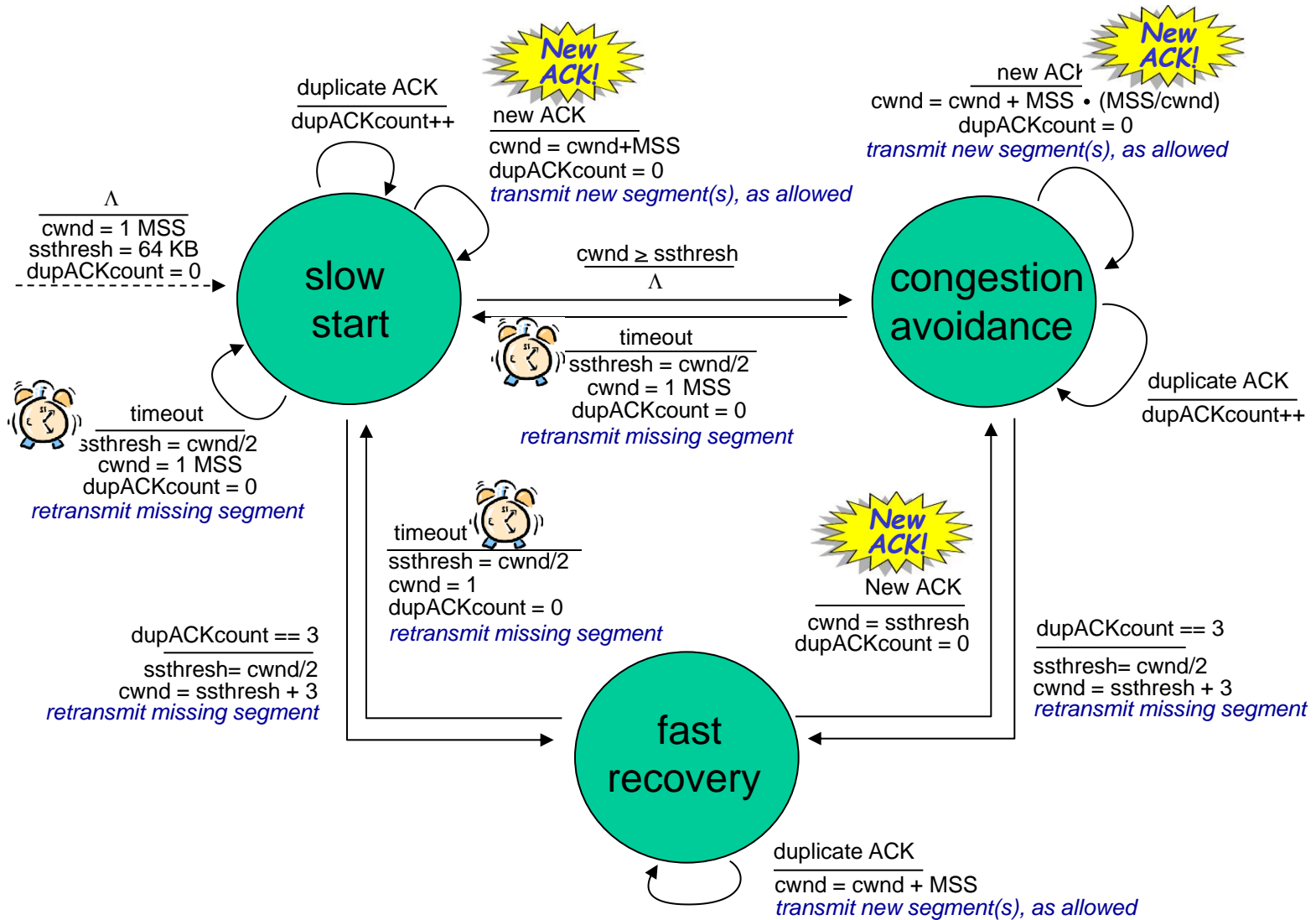
**A:** when **cwnd** gets to 1/2 of its value before timeout.

## Implementation:

- ❖ variable **ssthresh**
- ❖ on loss event, **ssthresh** is set to 1/2 of **cwnd** just before loss event



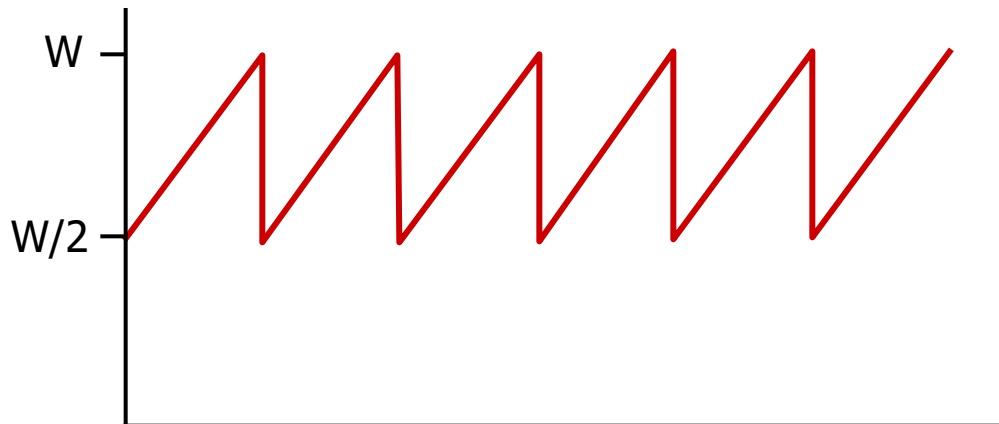
# Summary: TCP Congestion Control



# TCP throughput

- ❖ avg. TCP thruput as function of window size, RTT?
  - ignore slow start, assume always data to send
- ❖  $W$ : window size (measured in bytes) where loss occurs
  - avg. window size (# in-flight bytes) is  $\frac{3}{4} W$
  - avg. thruput is  $\frac{3}{4}W$  per RTT

$$\text{avg TCP thruput} = \frac{3}{4} \frac{W}{\text{RTT}} \text{ bytes/sec}$$



# TCP Futures: TCP over “long, fat pipes”

- ❖ example: 1500 byte segments, 100ms RTT, want 10 Gbps throughput
- ❖ requires  $W = 83,333$  in-flight segments
- ❖ throughput in terms of segment loss probability,  $L$  [Mathis 1997]:

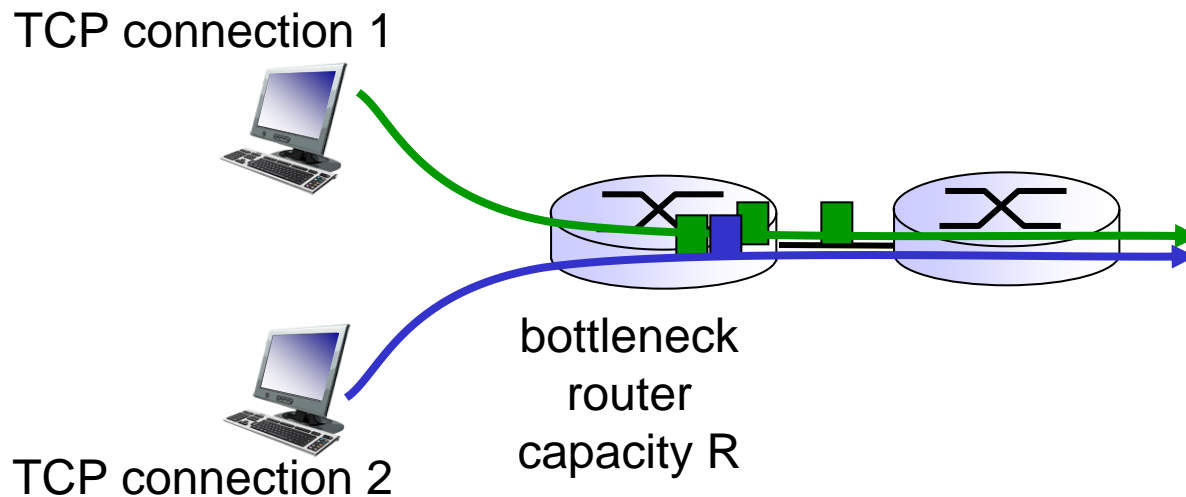
$$\text{TCP throughput} = \frac{1.22 \cdot \text{MSS}}{\text{RTT} \sqrt{L}}$$

→ to achieve 10 Gbps throughput, need a loss rate of  $L = 2 \cdot 10^{-10}$  – *a very small loss rate!*

- ❖ new versions of TCP for high-speed

# TCP Fairness

*fairness goal:* if  $K$  TCP sessions share same bottleneck link of bandwidth  $R$ , each should have average rate of  $R/K$

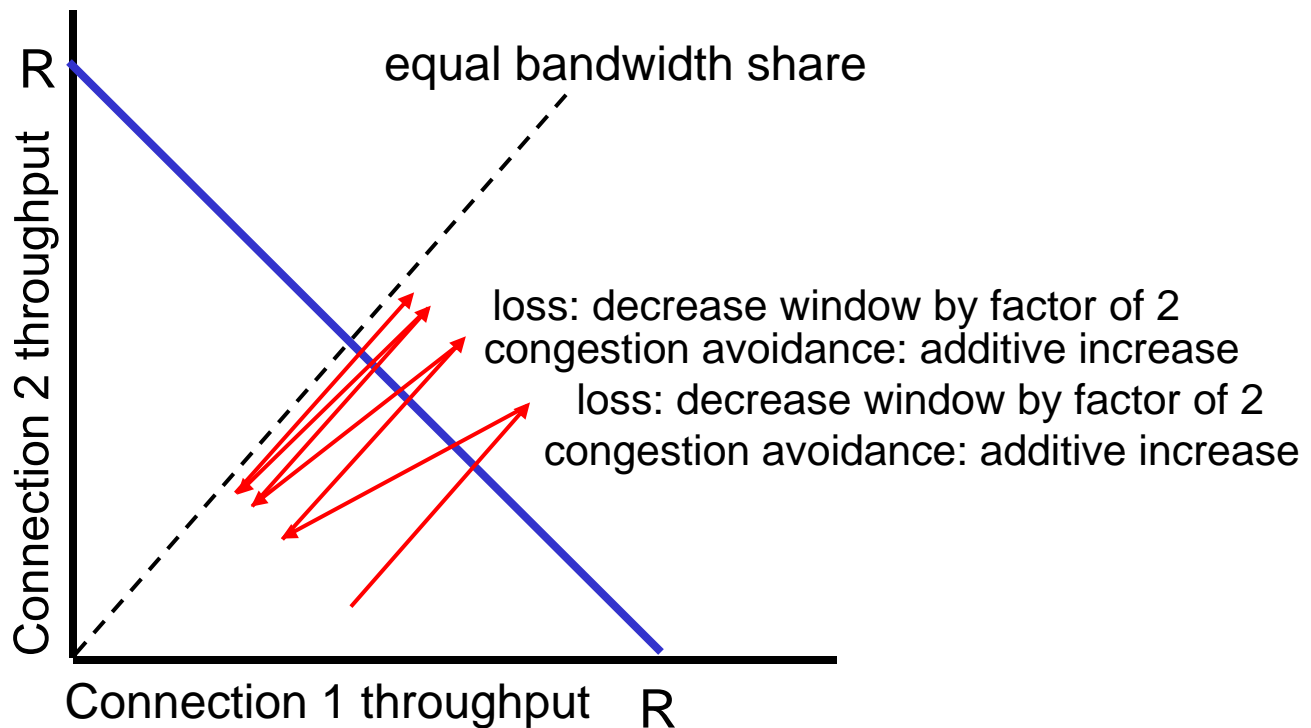




# Why is TCP fair?

two competing sessions:

- ❖ additive increase gives slope of 1, as throughput increases
- ❖ multiplicative decrease decreases throughput proportionally



# Van Jacobson

- ❖ One of the key designers of TCP congestion control
- ❖ <https://www.youtube.com/watch?v=QP4A6L7CEqA>
- ❖ 1:40-9:20

# Fairness (more)

## *Fairness and UDP*

- ❖ multimedia apps often do not use TCP
  - do not want rate throttled by congestion control
- ❖ instead use UDP:
  - send audio/video at constant rate, tolerate packet loss

## *Fairness, parallel TCP connections*

- ❖ application can open multiple parallel connections between two hosts
- ❖ web browsers do this
- ❖ e.g., link of rate  $R$  with 9 existing connections:
  - new app asks for 1 TCP, gets rate  $R/10$
  - new app asks for 11 TCPs, gets  $R/2$

# Chapter 3: summary

- ❖ principles behind transport layer services:
  - multiplexing, demultiplexing
  - reliable data transfer
  - flow control
  - congestion control
- ❖ instantiation, implementation in the Internet
  - UDP
  - TCP

## next:

- ❖ leaving the network “edge” (application, transport layers)
- ❖ into the network “core”

# Next class

- ❖ Midterm covers every slide until here.
- ❖ Please read Chapter 4.1-4.2 of your textbook **BEFORE** Class